

UNREGULATED APPLICATION FORM

1 - Introducer / Packager Details

Firm	Introducer
Address	
FCA / Interim Permission Number	
Telephone	Email
Which network are you part of if any?	Level of advice? <input type="checkbox"/> Advised <input type="checkbox"/> Non advised
Are you charging the client a fee? <input type="checkbox"/> Yes <input type="checkbox"/> No	What is the fee? £ Date payable?
Is any fee charged refundable? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, at what stage is it refundable?
Have you met the client in a face to face interview?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Are you packaging the application on behalf of an intermediary?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<i>(If 'Yes' above)</i> Firm Name	
Address	
FCA / Interim Permission Number	
Telephone	Email

2 - Client details

Applicant 1	Applicant 2
Title	Title
Forename	Forename
Middle name(s)	Middle name(s)
Surname	Surname
Known by any other name / maiden or alias	Known by any other name / maiden or alias
Mother's maiden name	Mother's maiden name
Marital status	Marital status
Date of Birth	Date of Birth
Place of Birth	Place of Birth
National Insurance Number	National Insurance Number
Nationality	Nationality
Permanent right to reside?	Permanent right to reside?

2 - Client details continued

Applicant 1			Applicant 2		
Current residential status*			Current residential status*		
Residential address			Residential address		
Postcode			Postcode		
Time at this address	Years	Months	Time at this address	Years	Months
Home tel			Home tel		
Mobile			Mobile		
Email			Email		
Preferred contact method			Preferred contact method		
Number of dependants** <i>(if over 18, please provide full details in section 9)</i>			Number of dependants** <i>(if over 18, please provide full details in section 9)</i>		
<p>* If you have been at this address for less than 3 years please provide previous address in section 8 **If second charge, secured on own home</p>					

3 - Loan details

Initial net loan required £	
Further borrowing required for works £	
Term	Months
Purpose of borrowings <i>(full explanation and breakdown required)</i>	
Source of deposit	
Repayment proposal <i>(full explanation required to include Lender if refinance and if sale details on price and marketing activity)</i>	
Refinance	
Sale of property	
Proposed completion date/timescale	

5 - Solicitors (minimum of 2 partners required)

Name of firm	
Address	
Postcode	
Contact name	
Telephone	Email

6 - Credit history

	Applicant 1	Applicant 2
Have you ever been refused a mortgage on this or any other property?	<input type="checkbox"/> Y <input type="checkbox"/> N	<input type="checkbox"/> Y <input type="checkbox"/> N
Have you ever had a judgement for debt recorded against you or if a director your company?	<input type="checkbox"/> Y <input type="checkbox"/> N	<input type="checkbox"/> Y <input type="checkbox"/> N
Have you ever been declared bankrupt or compounded with your creditors?	<input type="checkbox"/> Y <input type="checkbox"/> N	<input type="checkbox"/> Y <input type="checkbox"/> N
Have you ever failed to keep up with payments under any present or previous mortgage, rental or loan agreement?	<input type="checkbox"/> Y <input type="checkbox"/> N	<input type="checkbox"/> Y <input type="checkbox"/> N
Have you made a claim to the DSS in the last 12 months?	<input type="checkbox"/> Y <input type="checkbox"/> N	<input type="checkbox"/> Y <input type="checkbox"/> N
Have you ever been convicted of a fraud offence?	<input type="checkbox"/> Y <input type="checkbox"/> N	<input type="checkbox"/> Y <input type="checkbox"/> N
If you have answered yes to any of the above questions, please provide full details in section 8		

7 - Company / SPV details (if the loan is not going in personal names)

Name of firm
Company type (<i>tick as appropriate</i>)
<input type="checkbox"/> Sole trader <input type="checkbox"/> Partnership <input type="checkbox"/> LLP <input type="checkbox"/> PLC <input type="checkbox"/> Limited company <input type="checkbox"/> Charity <input type="checkbox"/> Church <input type="checkbox"/> Club <input type="checkbox"/> Society
<input type="checkbox"/> Local authority <input type="checkbox"/> Government department <input type="checkbox"/> Other. Please specify
Applicant and/or trading name
Total number of partners/directors/shareholders
Registered address
Postcode
Company registration number
Nature of business
Trading since
Correspondence address
Directors names
Shareholders names (<i>including % held</i>)
Company accountant (<i>name, address, qualification</i>)

8 - Additional information

9 - Assets and liabilities statement

Principle residency

Address

Postcode

Estimated value £

Outstanding mortgage £

Lender

Other property

Other property

Address

Address

Postcode

Postcode

Estimated value £

Estimated value £

Outstanding mortgage £

Outstanding mortgage £

Lender

Lender

Rental income £

Rental income £

Other property

Other property

Address

Address

Postcode

Postcode

Estimated value £

Estimated value £

Outstanding mortgage £

Outstanding mortgage £

Lender

Lender

Rental income £

Rental income £

Unsecured loans

Amount outstanding £

Lender

Bank overdrafts

Amount £

Bank

Savings

Amount £

Bank

Current personal guarantees

Other assets / investments / chattels / company shareholdings held

10 - Declarations

Use of your personal information

In order to process your application and administer and service your account, any company within the Amicus group may obtain, use and process personal data on you and the individuals named in this form and transfer such data to our group companies, professional advisers, third party administrators, intermediaries, administration companies and our group funders and their professional representatives. Such data may include “sensitive personal data”, as defined by the General Data Protection Regulations (GDPR) and all other applicable UK laws and regulations relating to the protection of an individual's data and privacy.

We will perform credit and identity checks on you and the individuals named on this form with one or more credit reference agencies (“CRAs”). To do this, we will supply your personal information to CRAs and they will give us information about you. This will include information about your financial situation and financial history from your credit application. CRAs will supply to us both public (including the electoral register) and shared credit, financial situation and financial history information and fraud prevention information.

We will use this information to:

- Assess whether you or your business is able to afford to make repayment(s)
- Make sure what you've told us is true and correct
- Help detect and prevent financial crime
- Manage accounts with us
- Trace and recover debts
- Make sure that we tell you about relevant offers
- Undertake statistical analysis and systems testing.

We will continue to exchange information about you with CRAs while you have a relationship with us. We will also inform the CRAs about your settled accounts. If you borrow and do not repay in full and on time, CRAs will record the outstanding debt. This information may be supplied to other organisations by CRAs.

When CRAs receive a search from us they will place a search footprint on your credit file that may be seen by other lenders.

If you are making a joint application, or tell us that you have a spouse or financial associate, we will link your records together, so you should make sure you discuss this with them, and share with them this information, before lodging the application. CRAs will also link your records together and these links will remain on your and their files until such time as you or your partner successfully files for a disassociation with the CRAs to break that link.

Amicus, the credit reference agencies and the fraud prevention agencies may also use your records for statistical analysis about credit, insurance and fraud. Amicus may also use information about you to carry out market research.

The identities of the CRAs, and the ways in which they use and share personal information are explained in more details at:

- Callcredit - www.callcredit.co.uk/crain
- Equifax - www.equifax.co.uk/crain
- Experian - www.experian.co.uk/crain/index.html

The personal information we have collected from you will be shared with fraud prevention agencies who will use it to prevent fraud and money-laundering and to verify your identity. If fraud is detected, you could be refused certain services, finance or employment. Further details of how your information will be used by us and these fraud prevention agencies, and your data protection rights, can be found by contacting us at dataprotection@amicusplc.co.uk.

10 - Declarations

Your rights

Your personal data is protected by legal rights, which include your rights to:

- object to our processing of your personal data
- request that your personal data is erased or corrected
- request access to your personal data.

For more information or to exercise your data protection rights please refer to our Privacy Notice located at amicusplc.co.uk/privacy-and-cookies or email us at dataprotection@amicusplc.co.uk.

Telephone calls and video recordings of customer meetings between us and you may be recorded for monitoring and training purposes.

You agree to the provisions contained in this section of this form and, where appropriate, confirm that you have the necessary authority to do so.

Declarations

Your personal details may be used by Amicus and its group of companies, to send to you information about similar products or services to those you have purchased and which Amicus consider may be of interest to you.

If you WOULD like to receive such communications please tick the following boxes: [] Post [] Telephone [] E-mail [] SMS

I/we declare that the replies to the questions contained herein are true and complete in every respect to the best of my/our belief and I/we understand that they will be relied upon by, and may form the basis of any contract between, the applicant(s) and the Amicus group company providing the credit facility.

By signing this application you are confirming your agreement to proceed, and you are accepting that we may use your information in this way and you consent to searches being made.

Applicant 1	Applicant 2
Applicant signature	Applicant signature
Print name	Print name
Date	Date